

Security of Clients' Funds

➤ ***Peace of Mind with a Trusted Provider***

Global Markets Group Limited offers a market-leading service according to the highest regulatory compliance standards.

Our clients have a complete peace of mind when it comes to the money deposited into an account with Global Markets Group Limited. Here is why:

- ✓ You become a client of an authorised firm, regulated by the Financial Conduct Authority;
- ✓ Your money is held in segregated client bank (independent trust) accounts at top-tier UK banking institutions;
- ✓ Your assets are held by a custodian in segregated (nominee) client money accounts;
- ✓ Your money and assets are never merged with the money and assets of the Firm;
- ✓ Consequently, your money and assets are ring-fenced from creditors in the unlikely event that Global Markets Group Limited goes into liquidation.

➤ ***How Does Global Markets Group Limited Treat Your Money and Assets?***

Unlike banks, investment firms like are required to separate client money and assets from their own resources. This means that, as an investment firm, we are not allowed to use them during our business activities; client money and assets are completely ring-fenced and protected in the unlikely event that Global Markets Group Limited becomes insolvent.

Global Markets Group Limited is regulated by the Financial Conduct Authority (FCA). The FCA has strict regulatory requirements, known as the client money and client assets rules (found in the Client Assets Sourcebook or "CASS"), which govern how firms must treat client money and assets.

The only clients whose money and assets do not have to be treated according to CASS, are professional clients (such as, for example, other financial firms), or eligible counterparties who have signed a legal document explaining how their money and assets are held differently (this is known as Title Transfer Collateral Agreement or "TTCA").

➤ ***What Happens to the Money You Deposit with Global Markets Group Limited?***

Your money is held in segregated bank accounts under trustee arrangements. This ensures that the cash remains strictly yours. Furthermore, it is easily identifiable as client money and the Firm's creditors do not have any charge, liens, or rights of set-off or retention over it.

We have several segregated bank accounts held with highly reputable banking Institutions, such as Barclays Bank Plc.

➤ **What Happens to Your Money or Assets if Global Markets Group Limited Would Go into Liquidation?**

In the unlikely event of this happening, our clients would have their share of the segregated money or segregated assets returned, minus the administrators' costs in handling and distributing these funds.

Any shortfall of funds of up to £85,000 may be compensated for under the Financial Services Compensation Scheme (FSCS). The FSCS is the compensation fund of last resort for customers of authorised financial services firms. It was designed by the UK government to act as "safety net". It usually covers private investors (retail clients) and small businesses if they have been clients of a financial services firm which becomes insolvent.

➤ **What Happens to Your Money if the Financial Institution Holding Client Money on Behalf of Global Markets Group Limited Goes into Liquidation?**

The losses would be shared by clients in proportion to the share of money held with the failed bank. Funds lost in this way may be compensated for under the FSCS up to a limit of £85,000 per person, per institution, subject to other balances held with the bank in question.

FSCS protection is available to eligible clients of the Firm. You may be eligible to make a claim so long as your account with Global Markets Group Limited is not your main trade or business. For more information regarding what the FSCS covers and who is eligible to claim, please refer to www.fscs.org.uk.

If you have further questions on how your money is protected, you can contact us at info@gmgmarkets.co.uk or +44 (0) 203 865 3306.